



Case No.: 57210US002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

CARPENTER, JAMES B.

Application No.:

10/028838

Group Art Unit:

1731

Filed:

December 20, 2001

Examiner:

Not Yet Known

Title:

Apparatus for Selective Photosensitization of Optical Fiber

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

Date

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

Date

March 4, 2003 By:

Alan Ball, Reg. No.: 42,286

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Office of Intellectual Property Counsel 3M Innovative Properties Company

Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

se as many sheets if necessary)

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Page 1 of 1

| Application Number   | 10/028838           |  |
|----------------------|---------------------|--|
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| First Named Inventor | Carpenter, James B. |  |
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| Examiner Name        | Not Yet Known       |  |
| Attorney Case Number | 57210US002          |  |

| U.S. Patent Documents |             |                             |                                |  |   |  |
|-----------------------|-------------|-----------------------------|--------------------------------|--|---|--|
| Exam.<br>Init.*       | Cite<br>No. | Document Number             | Publication Date<br>MM-DD-YYYY | Name of Patentee<br>or Applicant of Cited Document | Pages, Columns, Lines, Where                    |  |
|                       |             | Number-Kind Code (if known) |                                |  | Relevant Passages or Relevant<br>Figures Appear |  |
|                       | A1          | US-                         |                                |  |   |  |
|                       | A2          | US-                         |                                |  |   |  |
|                       | А3          | US-                         |                                |  |   |  |
|                       | A4          | US-                         |                                |  |   |  |

|                 | Foreign Patent Documents |                         |                            |                  |                             |  |                |
|-----------------|--------------------------|-------------------------|----------------------------|------------------|-----------------------------|--|----------------|
| Exam.<br>Init.* | Cite<br>No.              | Foreign Patent Document |                            | Publication Date | Name of Patentee or         | Pages, Columns, Lines,                             | Translation    |
|                 |                          | Ctry. Code              | Number-KindCode (If known) | MM-DD-YYYY       | Applicant of Cited Document | Where Relevant Passages or Relevant Figures Appear | (Check if yes) |
|                 | B1                       |                         |                            |                  |                             |  |                |
|                 | B2                       |                         |                            |                  |                             |  |                |
|                 | В3                       |                         |                            |                  |                             |  |                |
|                 | B4                       |                         |                            |                  |                             | -  |                |
|                 | B5                       |                         |                            |                  |                             |  | P-141.         |
|                 | B6                       |                         |                            |                  |                             | •  |                |
|                 | В7                       |                         |                            |                  |                             |  |                |

|                 | OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS |  |  |  |  |  |  |  |
|-----------------|---|--|--|--|--|--|--|--|
| Exam.<br>Init.* | Cite<br>No.                                     | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |  |  |  |  |  |  |
|                 | C1  | X. LI, et al; "A Novel Hydrogen Loading Technique for the Fabrication of Fiber Gratings"; <i>Optical Fiber and Planar Waveguide Technology</i> (S.Jian & Y.Liu, Ed.), Proceedings of SPIE Vol. 4579, APOC 2001; Beijing, China (October 2001); pp. 142-145     |  |  |  |  |  |  |
|                 | C2  |  |  |  |  |  |  |  |
|                 | C3  |  |  |  |  |  |  |  |

TARIZ 2005

\*Examiner:

**Date Considered:** 

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.